## Synthetic Methods

# Reactivity of $N$-Substituted Exo-oxazolidin-2-one Dienes with Naphthalene Chalcones and Cyclic 1,3-Dicarbonyl Compounds 

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#### Abstract

The $N$-substituted exo-2-oxazolidinone dienes are versatile molecules that undergo a variety of reactions. To further explore this versatility, Diels-Alder reactions were carried out with novel naphthalene chalcones. Upon attempting the Diels-Alder reaction with 2-hydroxy-1,4-naphthoqui-


none, the formation of chromene unexpectedly took place via a formal $[3+3]$ cycloaddition reaction. The observed reaction was then achieved with other 1,3-dicarbonyl compounds.

## Introduction

The $N$-substituted exo-2-oxazolidinone dienes 1 are useful and versatile molecules that undergo a variety of reactions, including Diels-Alder cycloadditions, ${ }^{[1-4]}$ [4+3] cycloadditions with oxalyl cations, ${ }^{[5]}$ the formation of metal complexes ${ }^{[6-8]}$ and oxidation to oxazolidine-2,4-diones. ${ }^{[9]}$ These dienes have also been involved in the successful synthesis of natural carbazoles (Scheme 1). ${ }^{[10-13]}$

Dienes 1 are known to react with different dienophiles, particularly those substituted with electron withdrawing groups. Among the possible dienophiles are chalcones, molecules containing a trans-1,3-diaryl-2-propen-1-one as the chemical scaffold. ${ }^{[14,15]}$ This framework is widespread in nature, being found in compounds within vegetables, fruits and other plants..$^{[15-18]}$ Owing to their electron deficient double bond, chalcones are excellent dienophiles, readily reacting with diverse dienes. ${ }^{[19-23]}$

On the other hand, 1,2- and 1,4-naphthoquinones are a group of compounds derived from naphthalene, with the structure of fully conjugated diones. ${ }^{[24]}$ These naturally-occurring compound ${ }^{[25,26]}$ serve as natural oxidation-reduction reagents. One example is vitamin K , comprised of a naphthoquinone skeleton that plays a vital role in several biological processes. ${ }^{[27]}$ Moreover, naphthoquinones have been used as dienophiles in Diels-Alder cycloadditions for the construction of important molecules. ${ }^{[28-30]}$
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Scheme 1. Previously reported reactions of N -substituted exo-2-oxazolidinone dienes 1.

To improve the synthetic scope for dienes 1 , we herein describe Diels-Alder reactions with novel 1,4-dimethoxynaphthalene chalcones. Moreover, we present the case of an attempted Diels-Alder reaction with 2-hydroxy-1,4-naphthoquinone that led to a chromene compound by a formal [3+3] cycloaddition. This reaction was further explored with other 1,3dicarbonyl compounds.

## Results and Discussion

The first objective was to prepare new benzoxazole-2-ones 2 with a naphthoquinone moiety. The synthetic approach was the oxidation of compound 3 which had been generated by a Diels-Alder reaction between diene 1 a and chalcones 4 (Scheme 2).

The $N$-substituted exo-2-oxazolidinone diene 1 a was prepared by condensation of 2,3-pentanedione with the corre-


Scheme 2. Retrosynthesis for target compounds 2.
sponding aryl isocyanate in the presence of triethylamine as the base, employing a well-known method. ${ }^{[1]}$ The synthesis of chalcones 4, to our knowledge being reported for the first time, began with the reduction of naphthoquinone (5) by using $\mathrm{SnCl} 2 \cdot 2 \mathrm{H}_{2} \mathrm{O}$ in concentrated HCl . Subsequently, the resulting 1,4-naphthohydroquinone was methylated with Mel and $\mathrm{K}_{2} \mathrm{CO}_{3}$, furnishing 6 in good yield. Diverse techniques were assayed for the acetylation of compound 6, but common methods, such as acetyl chloride in the presence of $\mathrm{AlCl}_{3}$, gave multiple acylation products. However, by using a mixture of trifluoroacetic anhydride and acetic acid, ${ }^{[31]}$ 2-acetyl-1,4-dimethoxy naphthalene (7) was provided in good yield (Scheme 3).


Scheme 3. Reaction conditions: a) $\mathrm{SnCl}_{2} \cdot 2 \mathrm{H}_{2} \mathrm{O}, \mathrm{HCl}, \mathrm{MeOH}$, reflux, 3 h . b) Mel, $\mathrm{K}_{2} \mathrm{CO}_{3}$, DMF, $50^{\circ} \mathrm{C}$, overnight. c) TFAA, $\mathrm{AcOH}, 60^{\circ} \mathrm{C}, 3 \mathrm{~h}$.

The desired key naphthoquinone 7 underwent aldol condensation with aromatic aldehydes in the presence of NaOH as the base, affording the series of novel naphthalene chalcones 4 a-e in good to moderate yields (Table 1).

Subsequently, with toluene as solvent, the Diels-Alder cycloaddition between $1 \mathrm{a}(1 \mathrm{mmol})$ and chalcones $4 \mathrm{a}-\mathrm{e}$ ( 1 mmol ) was carried out in an Ace-glass pressure tube by heating at $180^{\circ} \mathrm{C}$ for 24 h . This method furnished tetrahydro-benzoxazol-2-ones 3 in good yields. This reaction was regioselective, as only the ortho isomers (relative to the methyl and carbonyl functional groups) were produced. A detailed NMR analysis of the sole purified fraction of adducts 3 revealed a mixture of the two diastereoisomers 9 and 10 (Table 2). In all the reactions, the major diastereoisomers were the endo adducts $10 \mathbf{a}-\mathbf{e}$. The relative configuration for both isomers was assigned in accordance with previous studies that examined oxazolidin-2-one dienes 1 in Diels-Alder reactions. ${ }^{[1]}$


Table 2. Preparation of diastereoisomeric Diels-Alder adducts 4,5,6,7-tetrahydrobenzoxazol-2-ones 9/10.


Attempts to aromatize the cyclohexyl moiety of the mixture of adducts $9 \mathrm{c} / 10 \mathrm{c}$, as well as to oxidize the 1,4-dimethoxynaphthalene moiety to 1,4 -naphthoquinone 2 c by using 2,3 -dichloro-5,6-dicyano-1,4-benzoquinone (DDQ) or ceric ammonium nitrate (CAN) were unsuccessful, and a complex mixture of products was observed (Scheme 4).


Scheme 4. Attempts to aromatize $9 \mathrm{c} / 10 \mathrm{c}$.

After reviewing the proposed pathway, we decided to oxidize chalcones 4 a-e before carrying out the Diels-Alder cycloaddition, which was followed by the oxidative aromatization with DDQ to provide the desired product 2 (Scheme 5).


Scheme 5. Alternative pathway to synthesize 2.

Oxidation of chalcone 4 d ( 1 mmol ) using CAN ( 3 mmol ) in $\mathrm{CH}_{3} \mathrm{CN} / \mathrm{H}_{2} \mathrm{O}$ and stirring at room temperature for 30 min . gave the corresponding 1,4-naphthoquinone 11 d in high yield $(96 \%)$. Rather than providing the desired product 12 , however, the Diels-Alder cycloaddition between 11 d and 1 a afforded a pair of diastereoisomers, $13 / 14$ (64:36), in $85 \%$ overall yield. They were separated by purification with column chromatography (Scheme 6).


Scheme 6. Diels-Alder cycloaddition between 1 a and 11 d .

Notably, only the ortho regioisomer (relative to the gem disubstituted carbon of dienophile 11 d and the methyl substituted terminal carbon atom of diene 1 a) was furnished, and the exo isomer (considering the quinone moiety of the dienophile 11 d ) was the major diastereoisomer. Moreover, this cycloaddition proceeded with high chemoselectivity since the reaction occurred at the naphthoquinone moiety as the dienophile rather than propen-1-one as the dienophile. The higher reactivity of the quinone moiety as the dienophile is probably due to the presence of three electron-withdrawing groups (two carbonyl groups of the quinone functional group and the third of the chalcone component) that strongly activate the double bond. ${ }^{[32]}$

Characterization for compounds 13 and 14 was achieved by ${ }^{1} \mathrm{H}$ NMR, ${ }^{13} \mathrm{C}$ NMR and 2D NMR experiments (COSY, NOESY, HSQC and HMBC). In the ${ }^{1} \mathrm{H}$ NMR spectra, both products showed two doublet signals ( $J \approx 15 \mathrm{~Hz}$ ) at the range of $6.7-$ 8.0 ppm . These were attributed to the vinyl protons of the propen-1-one moiety, indicating their ( $E$ ) configuration and
supporting the overall chemoselectivity. Meanwhile, ${ }^{13} \mathrm{C}$ NMR exhibited the presence of three carbonyl groups (190-195 ppm $\mathrm{C}_{5}, \mathrm{C}_{10}, \mathrm{C}_{13}$ ) and the carbamate carbonyl group in 153-154 ppm $\left(C_{2}\right)$ (Figure 1a). The assignment of the signals for protons $\mathrm{H}_{4}$,




$\mathrm{R}_{1}=4-$
chlorophenyl
$\mathrm{R}_{2}=2,4-$
dichlorophenyl

Figure 1. [a] Structure of 13 and 14. [b] NOESY correlations in 13 and 14.
$\mathrm{H}_{4 a^{\prime}}, \mathrm{H}_{11}$ and $\mathrm{H}_{12}$ and their correlation within the cyclohexene moiety provided the key evidence that allowed the relative configuration of both products to be established. For instance, the relative configuration for $\mathrm{H}_{4 \mathrm{a}}$ in 13 was identified by determining the coupling constants of $\mathrm{H}_{4}$ and $\mathrm{H}_{4^{\prime}}$ with $\mathrm{H}_{4 \mathrm{a}}$. This signal is displayed as a doublet of doublet (dd, $J=11.3,5.6 \mathrm{~Hz}$ ). The large coupling constant corresponds to the $\mathrm{H}_{4 \mathrm{a}} a x-\mathrm{H}_{4} a x$ coupling, and the small one to the $\mathrm{H}_{4 \mathrm{a}} a x-\mathrm{H}_{4} e q$ coupling (Figure 1b). The $\mathrm{C}_{12}$ methyl group configuration was revealed by a NOESY experiment. Thus, proton $\mathrm{H}_{4 \mathrm{a}}$ exhibits cross peak/ diagonal peak signals with proton $\mathrm{H}_{12}$, meaning that the methyl group is also axial. Although this correlation is illustrated in 14 as well, the difference between the two isomers was established based on the correlations between the vinyl proton $\mathrm{H}_{14}$ and the cyclohexenyl protons. In the NOESY experiment for isomer 13, cross peak/diagonal peak signals were displayed with protons $\mathrm{H}_{4 \mathrm{a}}$ (weak), $\mathrm{H}_{11}$ (strong) and $\mathrm{H}_{12}$ (strong), indicating that the prop-3-en-1-one group has a relative cis configuration regarding those protons. On the contrary, proton $\mathrm{H}_{14}$ for isomer 14 only displays cross peak/diagonal peak signals with proton $\mathrm{H}_{11}$, suggesting a relative trans configuration with regarding proton $\mathrm{H}_{4 \mathrm{a}}$ and methyl group $\mathrm{H}_{12}$ (Figure 1b).

Due to the abovementioned results, other cycloadditions were tested between dienes 1 and naphthoquinone derivatives in an attempt to obtain a series of anthraquinones. Accordingly, 1b was reacted with 2-hydroxy-1,4-naphthalene (15) in EtOH and heated at $90-100^{\circ} \mathrm{C}$ for 24 h . Instead of the expected DielsAlder adducts, new compounds were isolated and characterized, being chromene 16 and naphthoquinone 17 (Scheme 7).

Apparently, chromene 16 was formed through a formal [3+ 3] cycloaddition reaction. This type of annulation has been


Scheme 7. Unexpected cycloaddition between 1 a and 15.
employed for the construction of a variety of complex heterocycles, ${ }^{[33]}$ and usually involves a condensation between $\alpha, \beta$ unsaturated carbonyl compounds or $\alpha, \beta$-unsaturated iminium salts (in situ generated) with 1,3-dicarbonyl compounds or $\beta$ enaminones. ${ }^{[34-37]}$ Mechanistically, the process depends on the type of substrate used. It is a two-step reaction, in which two pathways are competing with each other: (a) a 1,2-addition vs. 1,4-addition, and (b) a $C$-addition vs. the $O$-addition. ${ }^{[38]}$ Considering the presence of compound 17, a plausible mechanism for the formation of 16 can be proposed. Dienes 1 a or 1 b undergo isomerization under the mild acidic conditions, as described in previous studies, ${ }^{[39,40]}$ inducing the formation of iminium salt 18. The latter suffers a C-1,4-addition by the enol moiety of 15 to form intermediate 17. After formation of iminium salt 19, the ring closure takes place by an O-1,2-addition, promoting the formation of chromene 16 (Scheme 8).


Scheme 8. Proposed mechanism for the synthesis of 16.

The characterization of compounds 16 and 17 was achieved by ${ }^{1} \mathrm{H}$ NMR, ${ }^{13} \mathrm{C}$ NMR and 2D NMR experiments (NOESY, COSY, HSQC and HMBC ). In the ${ }^{1} \mathrm{H}$ NMR spectrum of 17 , proton $\mathrm{H}_{9}$ appears at 4.59 ppm as a quartet $(J=7.2 \mathrm{~Hz})$, which is consistent with the absence of a vinylic proton in $\mathrm{C}_{3}$ and the formation of the enol tautomer. Furthermore, the ${ }^{13} \mathrm{C}$ NMR spectrum displays a signal at 26.28 ppm attributed to $\mathrm{C}_{9}$. This chemical shift would be expected for allylic carbons. In the case of the ${ }^{13} \mathrm{C}$ NMR spectrum of 16 , the signal of carbon $C_{3 a}$ is found at 93.61 ppm , which is consistent with a deshielding effect generated by adjacent O and N atoms. Likewise, proton $\mathrm{H}_{11}$ displays a crosspeak correlation with carbon $\mathrm{C}_{10}$ in the HMBC experiment. Interestingly, the ring closure of 16 was stereoselective, evidenced by the fact that only one diastereomer was observed. The relative configuration was established by a NOESY experiment, where $\mathrm{H}_{13}$ displays cross peak/diagonal peak signals with
$\mathrm{H}_{12}$ and $\mathrm{H}_{11 \mathrm{a} \text {, }}$ meaning that methyl and methine protons are cis relative to each other. The syn relative configuration of the angular methyl group ( $C_{13}$ ) and the secondary methyl group $\left(\mathrm{C}_{12}\right)$ is probably due to the steric repulsion and ring strain during the dihydropyran ring formation (from 19 to 16). This cyclization step leads to the formation of a heterocyclic hydrindane system (oxazolidin-2-one and dihydropyran rings), in which the cis bicyclic fusion is more stable than the trans one. This configuration induces the $C_{12}$ methyl group to adopt a relative configuration that is anti with respect to the oxazolidin-2-one ring, and consequently syn with respect to the $C_{13}$ methyl group.

Regarding the scope of the reaction, previous reports suggest that the formal $[3+3]$ cycloaddition occurs with a variety of 1,3-dicarbonyl compounds. ${ }^{[33]}$ To explore this idea, the annulation reaction between 1,3-cyclohexanedione (20) and diene 1 a was carried out, affording the expected product 21 and the new by-product 22, the latter formed in a higher ratio. The use of AcOEt as solvent led to an increased yield of the desire chromene 21, although the reaction was incomplete even after 48 h . Considering the proposed mechanism, acidic catalyst was employed (conc. HCl ) in the presence of a proper solvent (THF), providing the desired chromene 21 in better yield without any side products (Table 3, entry 3). Moreover, no
Table 3. Reaction conditions ${ }^{[\text {a] }}$ for synthesis of chromene 21.
reaction intermediaries were detected, which would appear to indicate a complete cyclization to give 21 as a single regio- and diastereoisomer (with a cis relative configuration, as formerly described for the pyran ring of 16).

Having found the improved reaction conditions, other 1,3dicarbonyl compounds were evaluated. As shown in Table 4, different chromenes were obtained by formal $[3+3]$ cycloaddition with dienes 1 a and 1 b .

It is notable that for almost all the compounds, including 15, no open intermediate was isolated (except for compound 33), and the relative configuration for the fused-pyran ring was always the same.

Table 4. Synthesized chromenes by formal [3+3] cycloaddition. ${ }^{\text {[a] }}$


 54

1 b

$28^{1}$
Product
Yield
(\%) ${ }^{[b]}$
1b, Ar=4 morophenyl
Diene 1,3-Dicarbonyl Compound
Table 4. continued


+ 1,3-Dicarbonyl compound $\frac{\mathrm{THF}, \mathrm{HCl}}{90-100^{\circ} \mathrm{C}}$
$\qquad$ Product

1a, Ar=4-chlorophenyl





56

30
58

55
1 b


${ }^{[a]}$ Reaction conditions: diene ( 1 mmol ), 1,3-dicarbonyl compound (1 mmol ) and 0.1 mL conc. HCl at $90-100^{\circ} \mathrm{C}$ for 24 h . ${ }^{[b]}$ After purification. ${ }^{[c]}$ Not detected, the structure for isomer 35 can be found in supporting information.

Regarding the use of acyclic $\beta$-oxo nucleophiles 34 and 36, the isomeric diene 35 was identified as the main product, but without a [3+3] annulation. It is well known that dienes 1 tend to isomerize by a sigmatropic rearrangement upon heating and/or treatment under acidic conditions, forming the more stable isomer 35. ${ }^{[3,39]}$ Hence, the present results suggest that cyclic enols are needed to carry out the annulation process. Krasnaya ${ }^{[41]}$ and Moorhoff ${ }^{[42]}$ reported that with acyclic compounds, an equilibrated mixture of 1-oxatrienes and the desired pyranes is usually generated. This equilibrium is substrate dependent. In the few cases in which complete cyclization was achieved, the resulting yields were very low. ${ }^{[33]}$ A similar behavior seems to be seen with the five-membered $\beta$ dicarbonylic substrate 32 , having only furnished the $C$-addition product 33 and isomer 35 (32\%).

## Conclusions

The preparation of novel chalcone derivatives 4 is herein described, as well as their use in the synthesis of $4,5,6,7-$ tetrahydrobenzoxazol-2-ones 9/10 and tetrahydroanthra[2,3- $d$ ] oxazole- $2,5,10(3 H)$ triones $13 / 14$ by a highly regioselective DielsAlder cycloaddition. An unexpected synthesis of chromeno[2,3-d]oxazol-2-ones via a formal [3+3] cycloaddition with 1,3dicarbonyl compounds was found. This reaction proceeded with high regio- and stereoselectivity, although substrate limitations existed. Thus, the current results illustrate the versatility of $N$-substituted exo-2-oxazolidinone dienes 1 for the construction of diverse scaffolds with synthetic interest. Synthetic applications of these novel compounds are currently in process, and the results will be reported in due course.

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## Conflict of Interest

The authors declare no conflict of interest.

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